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021004  
16805 U.S. PTO

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| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b>  |  | Attorney Docket No. <b>MAT-8505US</b>  |
| (Only for new nonprovisional applications under 37 C.F.R. 1.53(b))   |  | First Inventor <b>Shinnosuke NAGASAWA et al.</b>   |
|  |  | Title <b>INTERACTIVE REMOTE CONTROL UNIT</b>   |
|  |  | Express Mail Label No. <b>EV 351 884 670 US</b>  |
| <b>APPLICATION ELEMENTS</b><br><i>See MPEP chapter 600 concerning utility patent application contents.</i>   |  | 17510 U.S. PTO<br><b>10/7751867</b>  |
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br/><i>(Submit an original and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status.<br/>See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <b>27</b>]<br/><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C.113) [Total Sheets <b>10</b>]</p> <p>5. Oath or Declaration [Total Pages <b>3</b>] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d))<br/><i>(for a continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> DELETION OF INVENTOR(S)<br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li> <li>c. <input type="checkbox"/> Unexecuted Declaration</li> </ul> </li> </ul> </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> |  | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statements verifying identity of above copies</li> </ul> </p> |
| <b>ACCOMPANYING APPLICATIONS PARTS</b>   |  |  |
| <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of Attorney<br/><i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/><i>(Should be specifically itemized)</i></p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: <u><b>Certificate of Express Mail</b></u></p>   |  |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

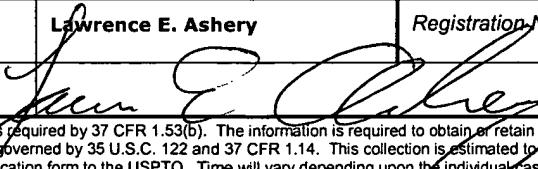
Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

Insert Customer Number: **23122** OR  Correspondence address below

|         |   |           |                       |                            |
|---------|---|-----------|-----------------------|----------------------------|
| Name    | <b>LAWRENCE E. ASHERY</b>               |           |                       |                            |
| Address | <b>SUITE 301, ONE WESTLAKES, BERWYN</b> |           |                       |                            |
| City    | <b>VALLEY FORGE</b>                     | State     | <b>PA</b>             | Zip Code <b>19482-0980</b> |
| Country | <b>USA</b>                              | Telephone | <b>(610) 407-0700</b> | Fax <b>(610) 407-0701</b>  |

|                   |   |                                   |                               |
|-------------------|---|-----------------------------------|-------------------------------|
| Name (Print/Type) | <b>Lawrence E. Ashery</b>   | Registration No. (Attorney/Agent) | <b>34,515</b>                 |
| Signature         |  |                                   | Date <b>February 10, 2004</b> |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** **(\$)** **972.00**

### Complete if Known

|                      |                            |
|----------------------|----------------------------|
| Application Number   | To Be Assigned             |
| Filing Date          | February 10, 2004          |
| First Named Inventor | Shinnosuke NAGASAWA et al. |
| Examiner Name        | To Be Assigned             |
| Art Unit             | To Be Assigned             |
| Attorney Docket No.  | MAT-8505US                 |

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  Other  None

Deposit Account:

Deposit Account Number

**18-0350**

Deposit Account Name

**RatnerPrestia**

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  
 Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity      Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |          |
| 1252     | 420      | 2252     | 210      | Extension for reply within second month                                    |          |
| 1253     | 950      | 2253     | 475      | Extension for reply within third month                                     |          |
| 1254     | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    |          |
| 1255     | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     |          |
| 1401     | 330      | 2401     | 165      | Notice of Appeal   |          |
| 1402     | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     |          |
| 1403     | 290      | 2403     | 145      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |          |
| 1453     | 1,330    | 2453     | 665      | Petition to revive - unintentional   |          |
| 1501     | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   |          |
| 1502     | 480      | 2502     | 240      | Design issue fee   |          |
| 1503     | 640      | 2503     | 320      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) | 40.      |
| 1809     | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810     | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801     | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

**SUBTOTAL (1)** **(\$)** **770.**

#### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims      Fee from below      Fee Paid

|                    |    |       |   |   |   |     |   |      |
|--------------------|----|-------|---|---|---|-----|---|------|
| Total Claims       | 29 | -20** | = | 9 | X | 18  | = | 162. |
| Independent Claims | 1  | -3**  | = | 0 | X | 86  | = | 0    |
| Multiple Dependent |    |       |   |   | X | 290 | = | 0    |

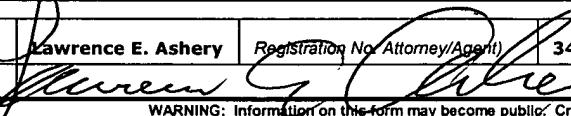
#### Large Entity      Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 1202     | 18       | 2202     | 9        | Claims in excess of 20                                     |
| 1201     | 86       | 2201     | 43       | Independent claims in excess of 3                          |
| 1203     | 290      | 2203     | 145      | Multiple dependent claim, if not paid                      |
| 1204     | 86       | 2204     | 43       | ** Reissue independent claims over original patent         |
| 1205     | 18       | 2205     | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** **(\$)** **932.**

\*\*or number previously paid, if greater; For Reissues, see above

Complete if applicable

|                   |   |                                 |        |           |                   |
|-------------------|---|---------------------------------|--------|-----------|-------------------|
| Name (Print/Type) | Lawrence E. Ashery  | Registration No. Attorney/Agent | 34,515 | Telephone | (610) 407-0700    |
| Signature         |  |                                 |        | Date      | February 10, 2004 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|  |   |                                   |   |
|--|---|-----------------------------------|---|
| <b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b>  |   |                                   | <b>Docket N.</b>                        |
| Applicants: Shinnosuke NAGASAWA et al.   |   |                                   | MAT-8505US                              |
| <b>Serial N.</b><br>TO BE ASSIGNED   | <b>Filing Date</b><br>February 10, 2004 | <b>Examiner</b><br>TO BE ASSIGNED | <b>Group Art Unit</b><br>TO BE ASSIGNED |
| <b>Invention:</b><br><br><b>INTERACTIVE REMOTE CONTROL UNIT</b>  |   |                                   |   |
| <p>I hereby certify that this <b>UTILITY TRANSMITTAL FORM WITH INDICATED ENCLOSURES</b> is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313 on: <b>February 10, 2004</b>.</p> <p style="text-align: center;"><b>KATHLEEN LIBBY</b><br/>(Typed Or Printed Name of Person Mailing Correspondence)</p> <p style="text-align: center;"><br/>(Signature of Person Mailing Correspondence)</p> <p style="text-align: center;"><b>EV 351 884 670 US</b><br/>("Express Mail" Mailing Label Number)</p> <p><b>Note: Each paper must have its own certificate of mailing.</b></p> |   |                                   |   |